BUILT FOR BREAKAWAY ADVISORS

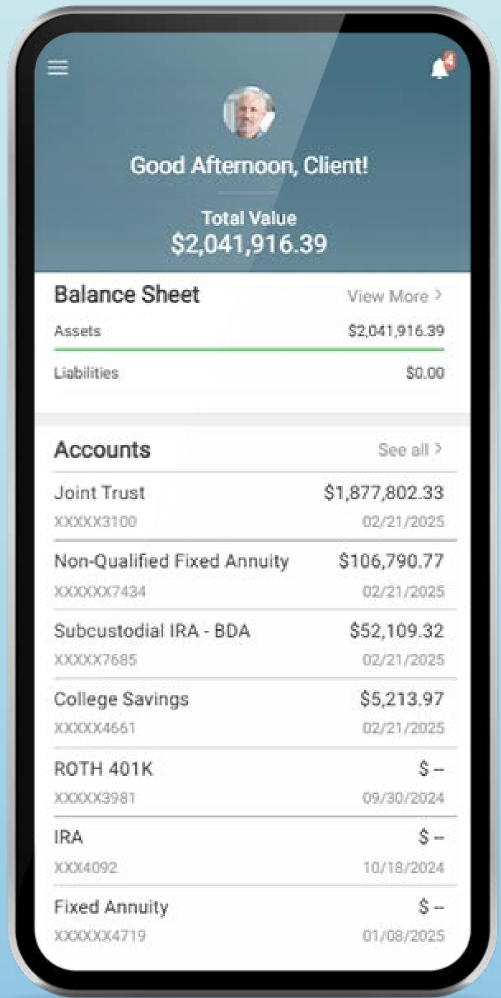
Independence Made Simple. Growth Made Possible.

From discreet planning to day-one readiness, everything you need to run with excellence.

Discover SS&C Black Diamond® Wealth Solutions

The Power to Build Your Firm, Your Way.

Breaking away to establish your own RIA requires flawless execution. Each step — from securing clients and partners to implementing technology — must be handled with precision and discretion.



Confidential Setup. Seamless Transition. Day-One Ready.

Launch your independent RIA with cloud-native capabilities like customizable reporting, turnkey investment management, immersive client portals, and seamless integrations — all backed by specialized breakaway support.

Confidential Pre-Breakaway Setup

Begin implementation while safely finalizing your transition plans with complete discretion and security.

Operational Excellence

Leverage an end-to-end solution for portfolio reporting, investment management, CRM, billing, and more — complemented by a vast network of third-party integrations.

Client Experience, Ready

Launch with a fully branded portal, reporting templates, and household-level views that showcase your value from the start.

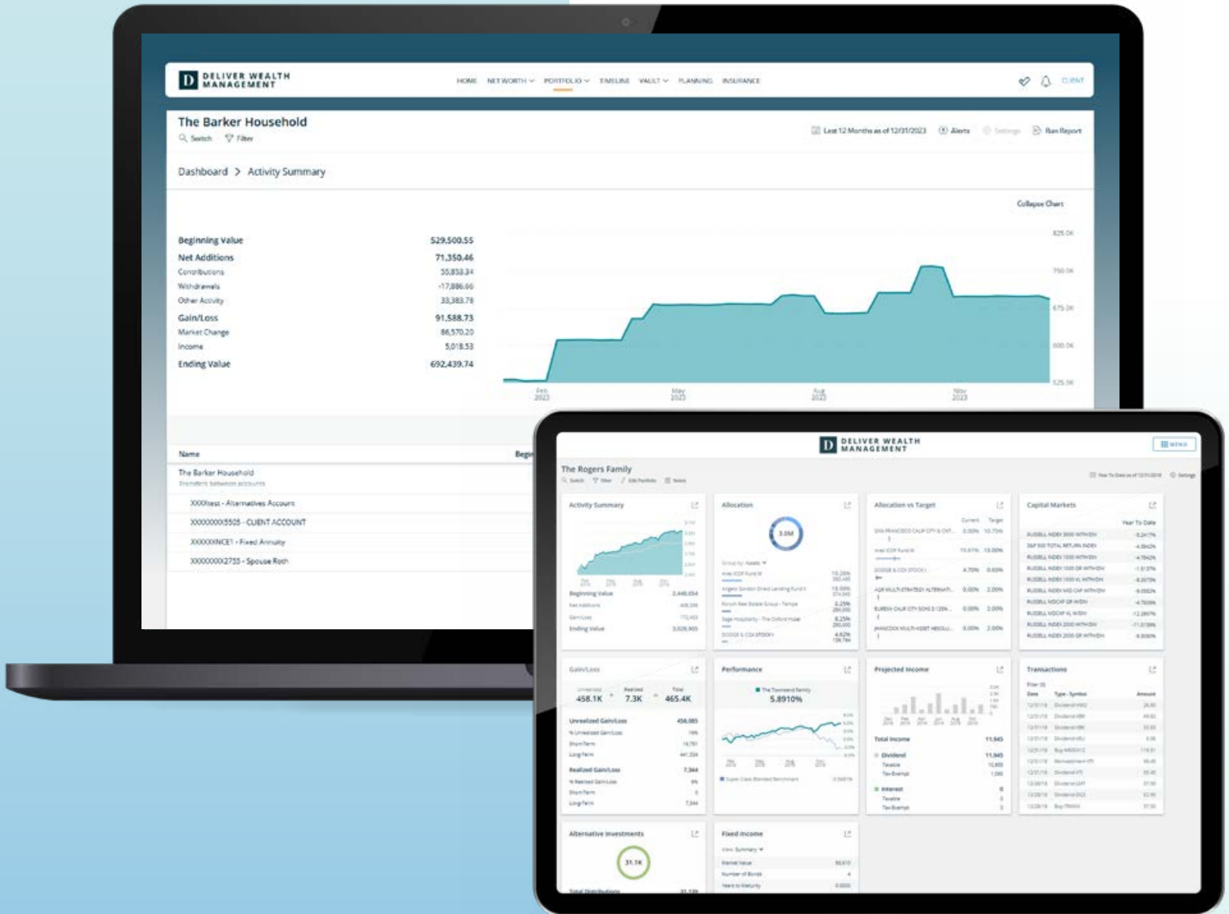
True Partnership

Benefit from proactive support and a dedicated service team that becomes an extension of your practice.

Key Capabilities Overview

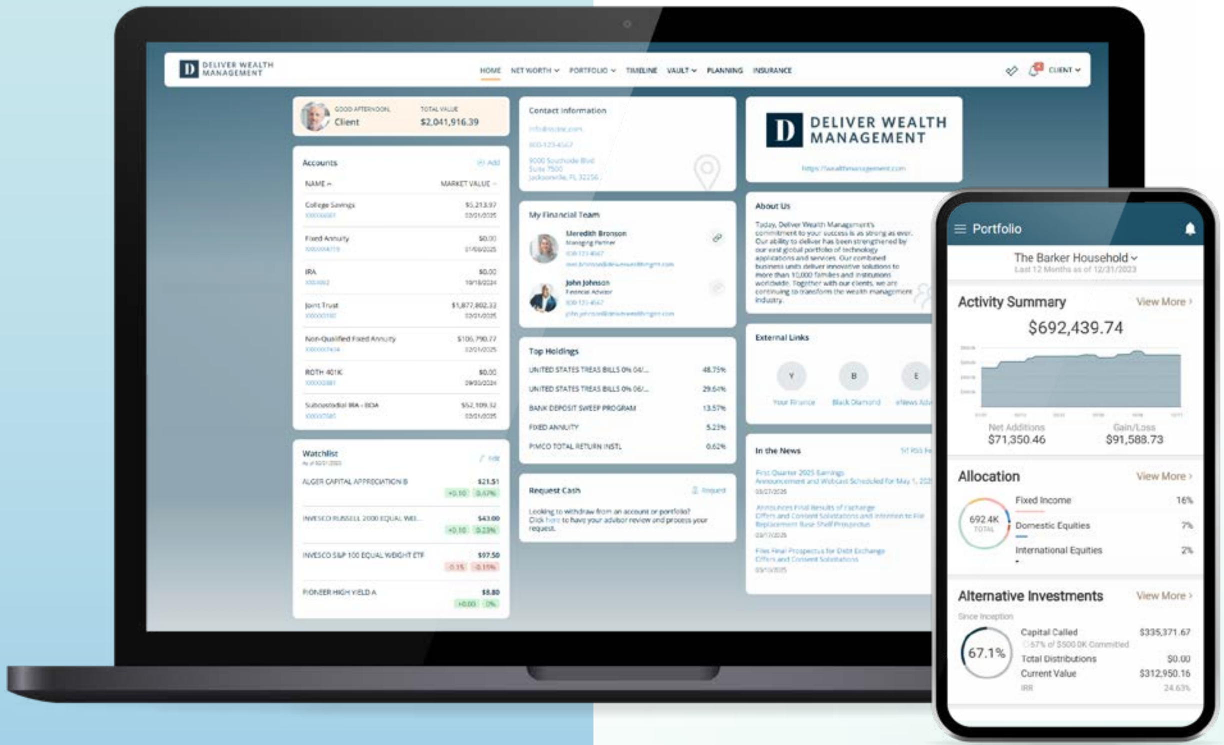
Explore specifics for some of the core features breakaway advisors value most.

- **Portfolio Management & Reporting**
- **Client Relationship Management (CRM)**
- **Client Experience & Communications**
- **Annuity & Insurance Marketplace (AIM)**
- **Billing & Revenue Management**
- **Investment Management**
- **Business Intelligence & Research**
- **Integrations**



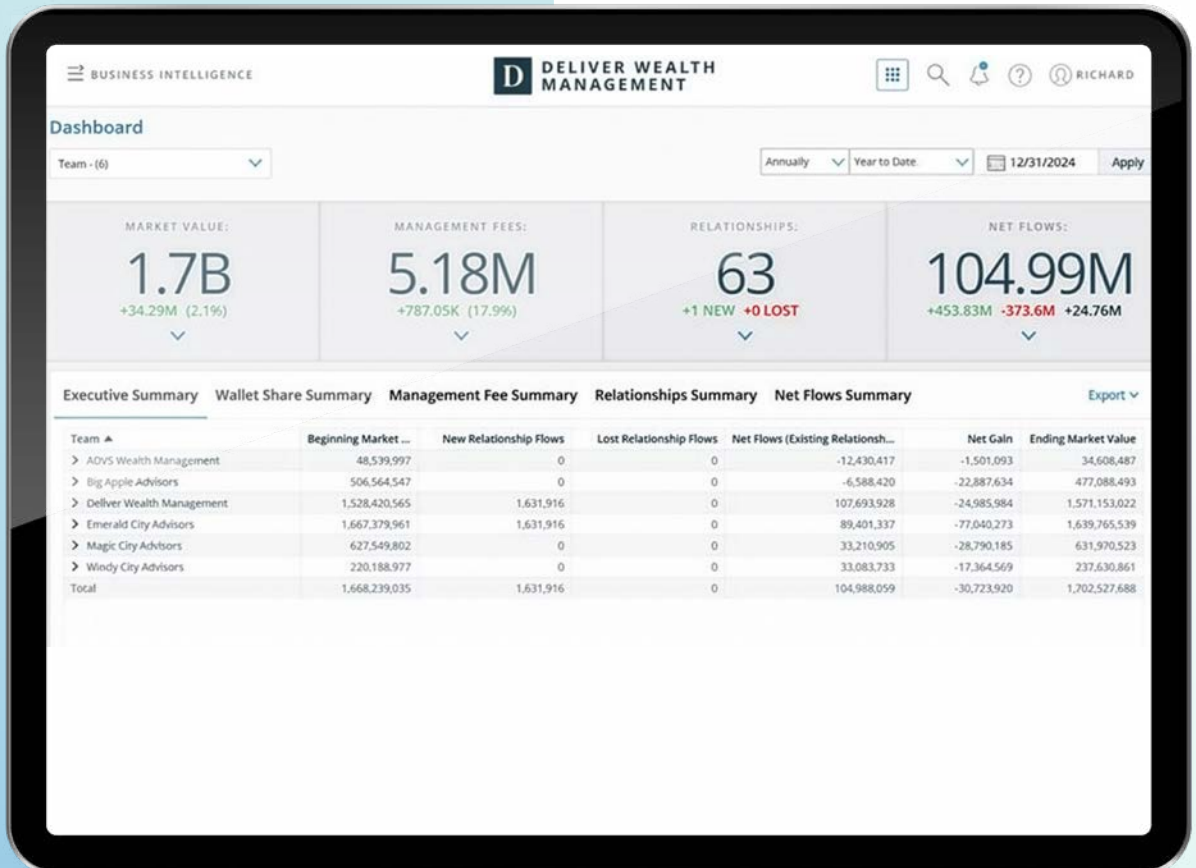
Portfolio Management & Reporting

Through a centralized command center, oversee portfolios in the full context of the client relationship. Dynamic, configurable metric cards provide key insights at a glance. When it's time to share results, generate professional reports using intuitive drag-and-drop tools or pre-built templates, which you can easily share through your client's portal.



Client Experience & Communications

Launch with a custom-branded, interactive online portal for your clients. Document communications, showcase dynamic portfolio metrics, share documents securely, display aggregated outside accounts, generate balance sheets, and more, ensuring continuity of service during your transition.

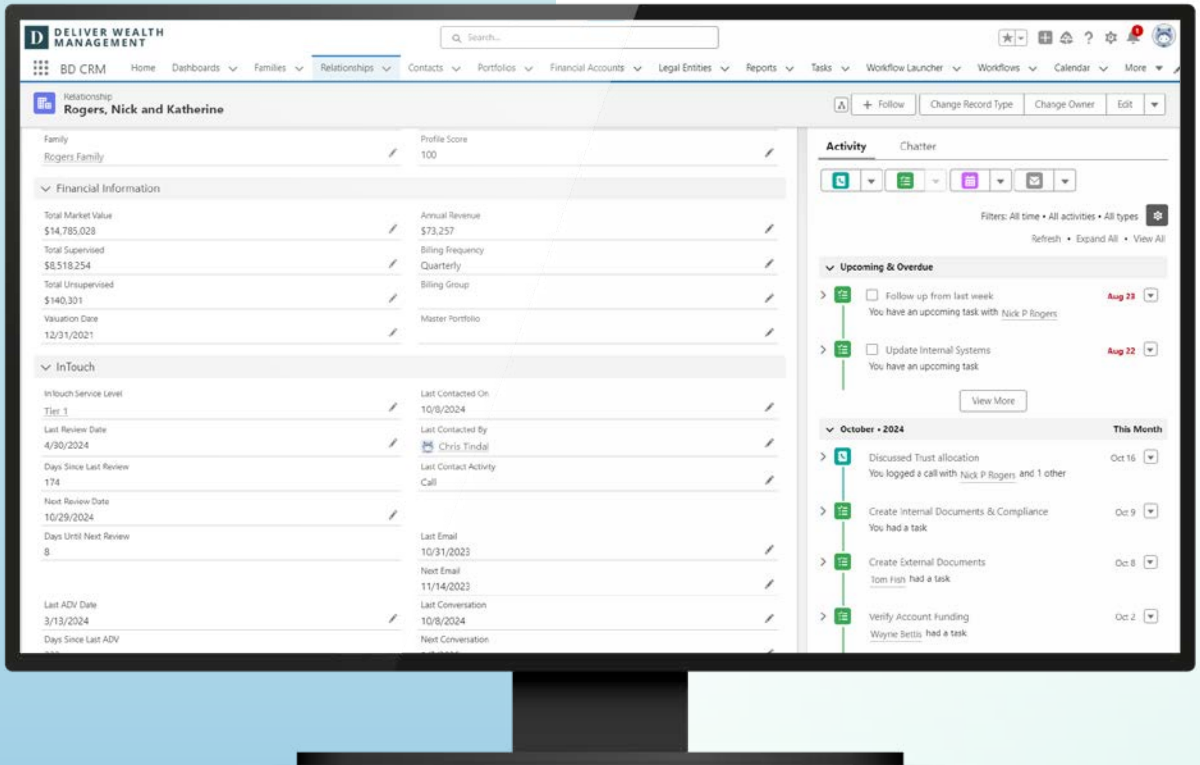


Billing & Revenue Management

Start billing your new firm's clients from the very first day. With flexible fee structures and built-in workflows, you can clearly demonstrate your value in a way that aligns with your business and resonates with clients. Streamlined configuration options ensure an efficient process, allowing you to run billing only once per period.

Business Intelligence & Research

Monitor your business's health, uncover trends, and gain deeper visibility into advisor performance and client relationships. With granular permission controls, compliance-ready formats, automated reporting, and more, you get a 360-degree view of your firm, making oversight more efficient and actionable. In addition, access unparalleled data and analytics from Morningstar's Direct Advisory Suite to confidently deliver personalized advice and drive investor success.



Client Relationship Management (CRM)

Employ a CRM designed specifically for growing wealth management firms.

With a Black Diamond CRM solution, you gain powerful features that are out of the box and have the flexibility to configure for your unique needs. Start strong with tools that help you manage every client relationship effectively at a price that fits growing firms.

Benefits Include:

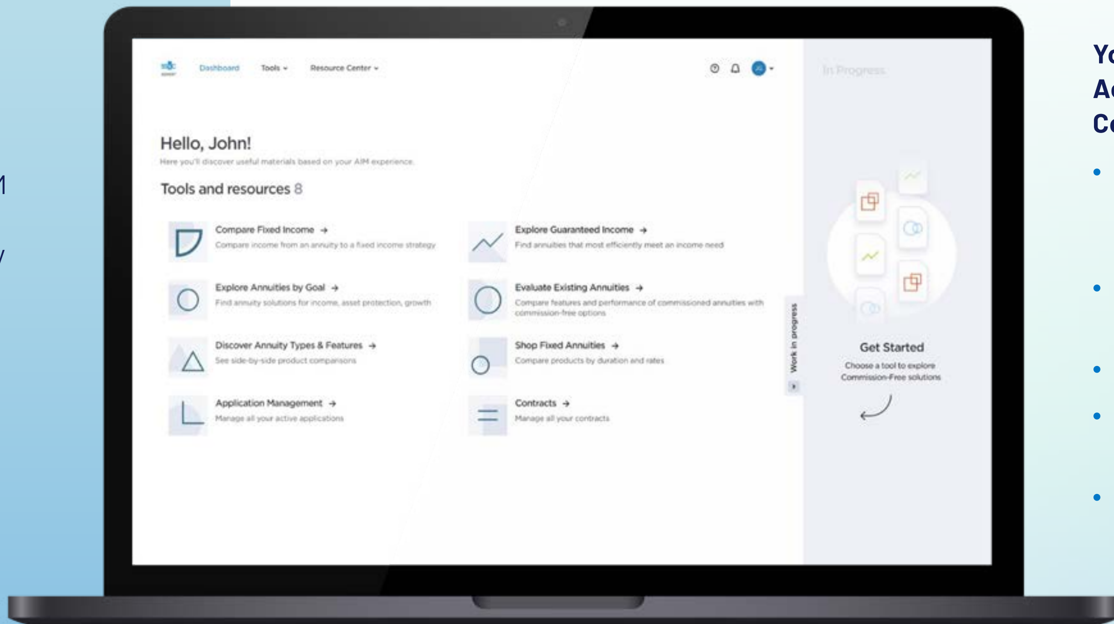
- Manage every type of client relationship
- View aggregated AUM value
- Automate processes and elevate client service
- Review, filter, and report on the prior day's holdings
- And much more

Annuities & Insurance Marketplace (AIM)

High commissions, complex products, and limited technology have traditionally prevented advisors from incorporating insurance into financial plans. Black Diamond eliminates these barriers, enabling your firm to offer commission-free, value-driven products that deliver better client outcomes at lower cost.

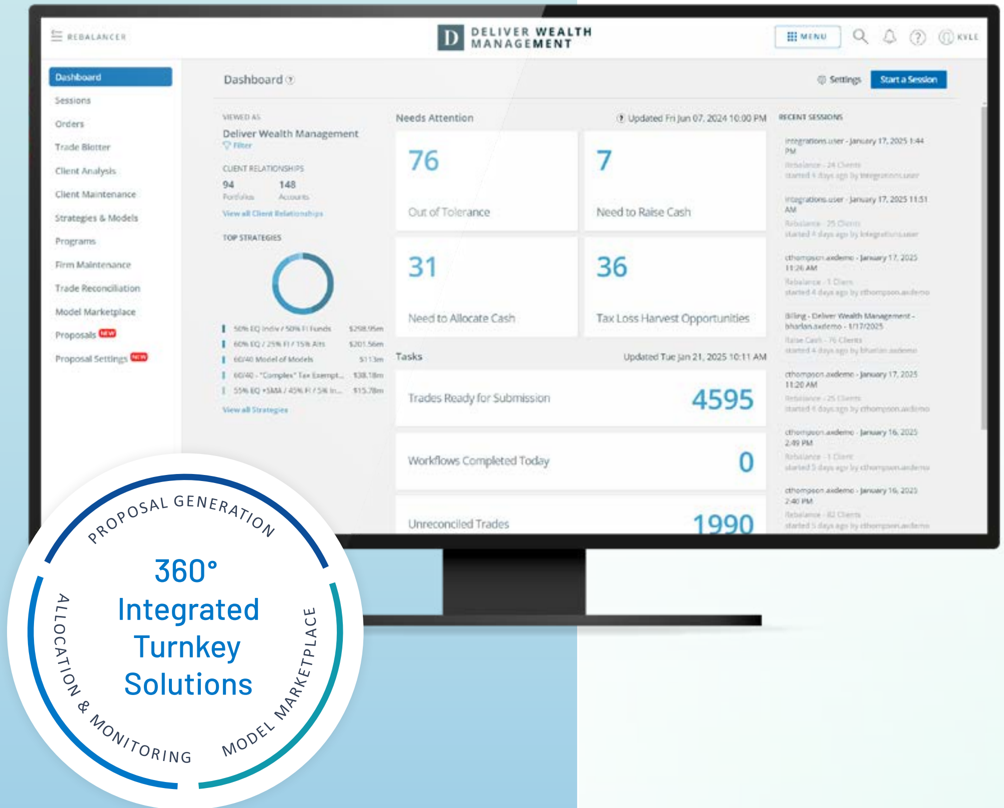
In addition, you do not need to leave your book of annuity assets behind as you break free from your former firm. Through the AIM Breakaway Accelerator Program, you can access complimentary resources to retain control of these assets and your client's experience.

Offered in partnership with DPL Financial Partners, AIM provides you with direct access to fiduciary-friendly insurance and annuities.



You and Your Team Gain Immediate Access to AIM at No Additional Cost, Empowering Them to:

- Repurpose legacy annuities to improve client outcomes in the financial plan
- Bring held-away assets under your fiduciary management
- Mitigate risk in the portfolio
- Deliver guaranteed lifetime income
- And much more



Investment Management

Scale your investment capabilities without building a back office from scratch. Black Diamond delivers a 360° investment management experience — from tax-smart proposal generation to streamlined trading and rebalancing. Through SS&C ALPS Advisors, you also gain access to institutional-quality portfolio solutions and outsourced trading support, on your terms.

Key Benefits:

- Goals-based model recommendations and marketplace access
- Tax-aware optimization with automated asset location and loss harvesting
- Flexible TAMP — use only what fits your practice
- Seamless proposal generation and rebalancing workflows
- Reduced operational burden with outsourced complex trading

Managed Accounts

Outsource trading, rebalancing, and tax optimization without leaving Black Diamond. Access institutional-quality model portfolios from SS&C ALPS Advisors with automated daily updates, tax-loss harvesting, and direct indexing capabilities—all seamlessly integrated within Rebalancer. Free your team to focus on client relationships while maintaining complete transparency and control over portfolio management.

Platform Partners

SMArtX Advisory Solutions

Future Capital®

RightCapital

MORNINGSTAR®

dpl financial partners

Integrations

Top RIAs don't compromise on technology. Every system should work seamlessly together. Black Diamond delivers this connected experience for the efficiency your new firm requires.

80+ Pre-Built Integrations Across Categories Like:

- Financial Planning
- Portfolio Analytics
- CRM Systems
- Managed Accounts
- Document Management

Integration Benefits That Matter:

- Single sign-on removes speedbumps between platforms
- Connected workflows eliminate double entry
- Embedded content keeps advisors on one platform
- Automated data synchronization ensures accuracy

Plus, our robust API opens endless possibilities. From automating unique workflows to building white-labeled tools that set your firm apart.

Integrations (continued)

One Powerful Hub

More than 1,000 direct data feeds from major custodians and prime brokers power Black Diamond daily. Custodial-specific integrations include:

- Cost basis synchronization
- Straight-through trade processing
- Synced Statement imports
- Digital account opening

This comprehensive connectivity establishes Black Diamond as a reliable data hub for your technology ecosystem, delivering consistent and accurate information to every connected platform.

A Selection of ACD Interfaces Includes:

Deutsche Bank | HSBC | State Street | UBS | Goldman Sachs Custody Solutions | BNY | TIAA | Merrill A Bank of America Company | Credit Suisse | JPMorgan Chase & Co. | Charles Schwab | LPL Financial | Raymond James | Fidelity

We ensure maximum platform adoption through an industry-unique service model explicitly designed for breakaway advisors.

After onboarding, you'll experience a smooth transition into long-term support, with continuity and expert guidance every step of the way.

Expert Guidance from Day One to Every Day After

White-Glove Implementation

Sign with Black Diamond

You

Breakaway Advisors Implementation Process

Implementation Specialist

Your Implementation Consultant

- Single point of contact throughout onboarding
- Financial services expert who understands breakaway needs
- Coordinates specialized resources across all platform areas

Specialized Resources

Reporting Consulting
Configure sophisticated, customized reports for complex holdings

Data Conversion
Execute seamless migration with zero disruption

Platform Training
Facilitate rapid adoption through tailored education

Rebalancer Setup
Optimize workflows for your unique business

Ongoing Partnership

Pre-Go Live Introduction to Service Team

Your Dedicated Service Team

- Deep understanding of your specific business needs
- Proactive platform optimization recommendations
- Direct access to specialized resources when needed

Go-Live and On-Going Unlimited Support

Specialized Resources

Reports
Custom report creation and modifications

Data Reconciliation
Resolves discrepancies and ensures accuracy

Ongoing Training
Continuous education for new features and staff

Product Questions
Expert guidance whenever you need it

WMIndustry Awards

2025: All-In-One Platform

WealthTechAmericas AWARDS

2025: Best Client/Consolidated Reporting

2024: Best Client/Consolidated Reporting

2023: Best Data Management & Analysis

FamilyWealthReport AWARDS

2025: Consolidated Reporting

2024: Portfolio Management

2023: Compliance

Aite MATRIX

2023: Best-in-Class RIA Portfolio Management and Reporting Systems

The Cornerstone of a Successful Business

These statistics help tell our story — the firms that trust us, the assets we help manage, the connections we maintain, and the team dedicated to your ongoing success.

3,000+
firms using Black Diamond

$3.6T+
in assets under management

1,000+
direct data sources

400+
service team members

8,000+
compliance related user roles

$15B+
invested in research & development by SS&C since 2012

Data as of July 2025

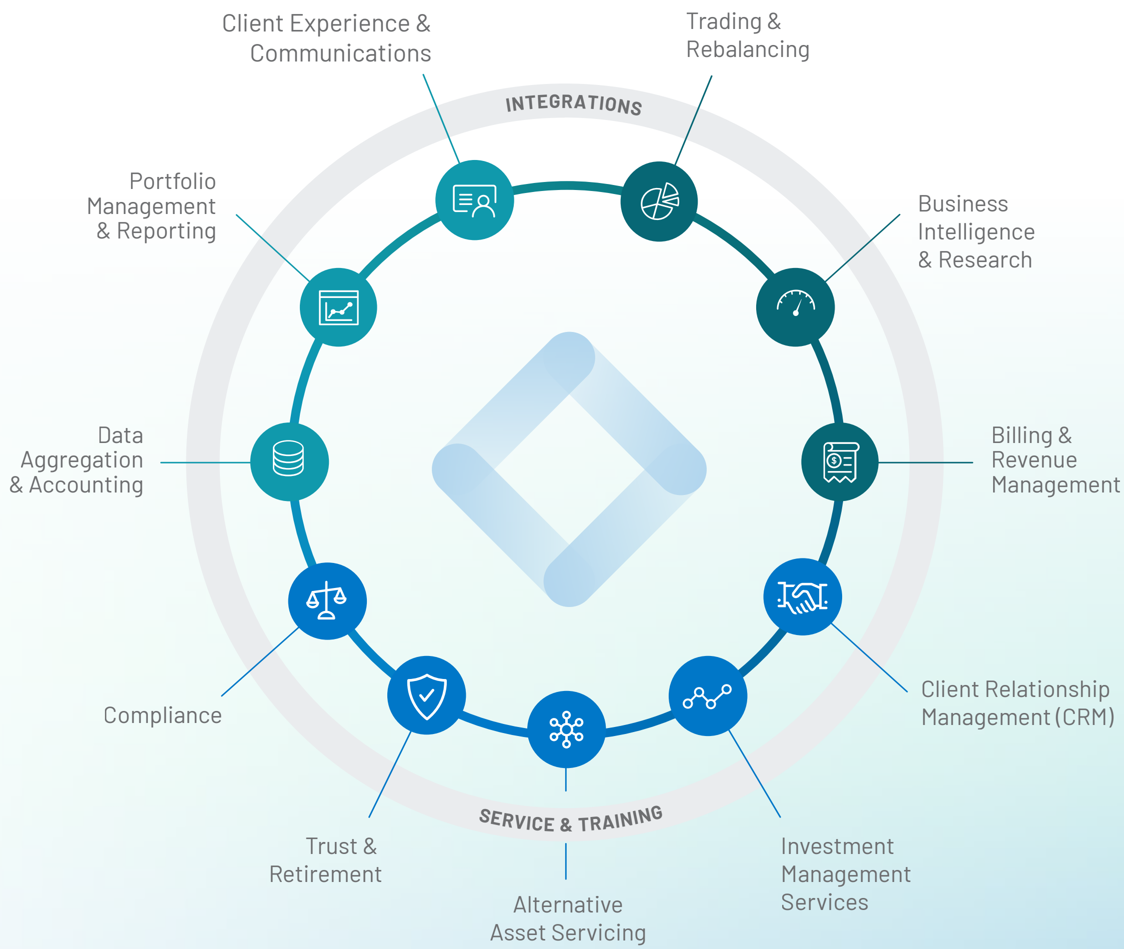
SS&C Black Diamond WEALTH SOLUTIONS

A COMPLETE, SINGLE-SOURCE SOLUTION

Critical Functionality to Support Your Unique Needs

- Comprehensive Portfolio Management
- Asset Level Data Aggregation & Accounting
- Alternative Data Feeds
- Extensive Compliance Oversight
- Next-Generation Trading & Rebalancing
- Customizable Reporting
- Intuitive Dashboards
- Immersive Client Portal
- Turnkey Investment Management
- Interactive CRM Capabilities
- And Much More

Where Every Corner of Your Firm Connects



For More Information

To learn how SS&C Black Diamond® Wealth Solutions can support your business, request your personalized demo, call 1-800-727-0605, or email info@sscblackdiamond.com.

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