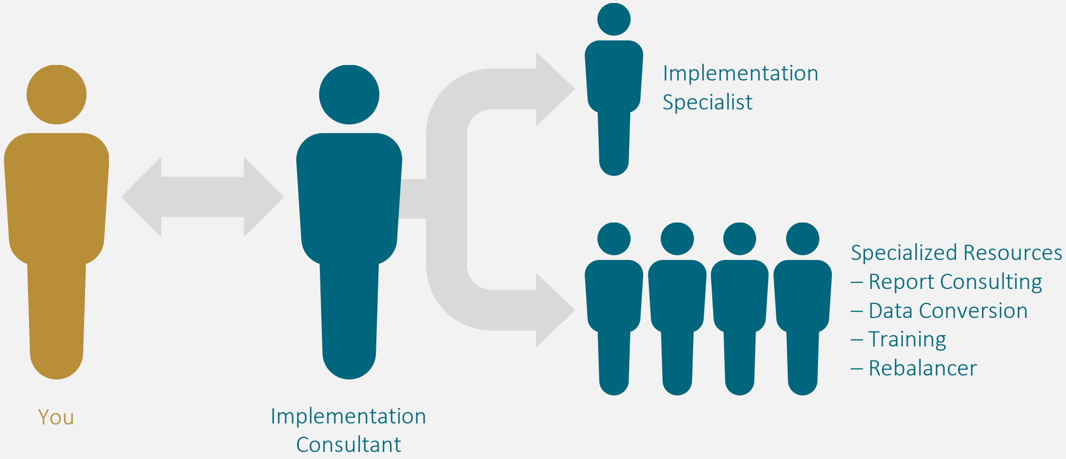
Off to a Great Start

Implementation Overview

Throughout our proven implementation process…

…our team of experts are with you every step of the way.



Experienced Professionals

- Black Diamond experts with years of financial industry and software conversion experience

Dedicated to your Success

- Average Net Promoter Score 55+ for last 7 years
- Implementation Satisfaction Score 8.9

A Phased Approach to Implementation

1

PHASE ONE

DISCOVERY

- Data Conversion Consultation
- Data Authorization
- Householding Created
- Initial Users Identified
- Billing Consultation

2

PHASE TWO

CONFIGURATION

- Data Conversion Auditing
- Ongoing Training
- Client Experience Portal Consultation
- Initial Report Build
- Rebalancer Consultation

3

PHASE THREE

LAUNCH

- Historical Data Verification
- Final Review of Reports and Data
- End of Parallel Runs
- Firm Users Up and Running
- Client Experience Portal Launched

A Phased Approach to Implementation

		1 PHASE ONE: DISCOVERY	2 PHASE TWO: CONFIGURATION	3 PHASE THREE: LAUNCH
DATA	AUTHORIZATION	— Data Authorized (Direct, Third-Party, Alternative, etc…) — Cost Basis Synchronized — Data Options Acknowledged	— Data Runs Parallel within Previous Provider — Cost Basis Imported and Audited for Unsynchronized Accounts — Setup and Training for Alternative and Manual Accounts	— Daily Data Secured — Previous Provider Parallel Period Ends — Alternative and Manual Accounts Tracked Solely in Black Diamond
	CONVERSION	— Data Conversion Consultation and Questionnaire Completed — History Requirements Reviewed and Project Scope Confirmed — Data Extracts and Audits Performed	— Pre-Import Audit and Updates Completed — Historical Data Imported and/or Reconciled — Post-Import Audit and Updates Completed	— Historical Data Firm Level Audits Verified
WORKFLOWS		— Firm Decision Makers Identified — Initial User Setup and Training — Firm Administration Overview — Householding and Account Groupings Established — Billing Consultation and Questionnaire Completed	— User Roles and Team Structure Defined — Application Workflows Configured — Targets Established — Compliance Requirements Reviewed — Firm Maintenance Protocols Specified — Single-Sign-On Created — Billing Configured and Fee Schedules Assigned — Billing Runs Parallel — Rebalancer Consultation	— Application Workflows Finalized — All Configurations Confirmed — All Firm Users Get Up and Running — Advisor Experience Structured and Rolled Out
REPORTING		— Report Needs Assessed — Sample Report from Previous Provider Reviewed — Asset Classification Schema/Structure Specified — Performance Benchmarks Identified	— Report Consultation Occurs — Custom Reports Built — Advisor Review of Custom Report Package — Custom Reporting Requirements Scoped — Client Experience Portal Needs Identified	— Additional Reporting Requirements Confirmed — Custom Report Package and Data Finalized — Quarterly Performance Reporting Runs Parallel — Client Experience Portal Finalized and Launched
			ONGOING PLATFORM TRAINING	

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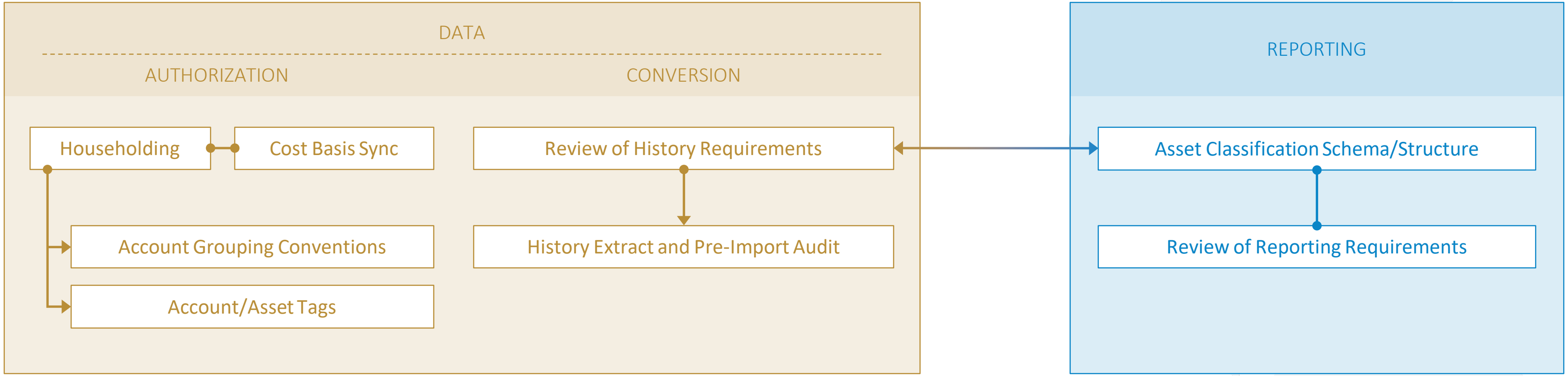
1 Phase One: Discovery

Getting to know you. Establishing a foundation for the future.



2 Phase Two: Configuration

Structuring things your way. Powering the platform with your data.

DATA CONVERSION

- Review of Pre-Audit and Update Data
- Import History
- Review Post-Import Audit and Update Data

WORKFLOWS

- Compliance Reporting
- Billing and Rebalancing

REPORTING

- Performance Reporting Consultation and Build
- Additional External Reporting Workflows

ONGOING PLATFORM TRAINING

3 Phase Three: Launch

Putting on the finishing touches. Experiencing the Black Diamond difference.

DATA

AUTHORIZATION

- Daily Data Verification

CONVERSION

- Firm Level Audits of Historical Data

WORKFLOWS

- Advisor Experience Launch Training Consultation
- Define Advisory Experience Preferences
- Build Training Plan Materials
- Advisor Experience Fully Configured and Launched

REPORTING

- Finalize Client Performance and Firm Reports
- Verify Reports and Data
- Confirm Any Additional Reporting Requirements

ONGOING PLATFORM TRAINING

Implementation ends. The journey begins.

Presenter’s Name

Contact Info

SS&C | Black Diamond WEALTH PLATFORM

ABOUT BLACK DIAMOND WEALTH PLATFORM

The Black Diamond® Wealth Platform is an offering of SS&C Advent, a business unit of SS&C Technologies.

An award-winning, cloud-based solution, Black Diamond is designed to meet the complex business needs of wealth management professionals and their clients. Complete with performance reporting, portfolio rebalancing, an immersive client portal, and more, the platform also connects to a vast ecosystem of smart integrations. Proactive, personalized attention from a dedicated service team ensures advisors receive access to an elite combination of technology and service.

Financial management firms of all sizes leverage Black Diamond to streamline operations, deliver business insights, and connect with both prospects and clients to build long-lasting relationships.

For more information, visit blackdiamond.advent.com or contact info@advent.com.