

Breakaway Accelerator Program

A win for your practice, a win for your clients.

Are you making a move to independence as an RIA? Don't leave your book of annuity assets behind. With the Black Diamond Annuity & Insurance Marketplace, you can access resources that enable you to retain control of these assets and your client's experience as you break free from your former broker-dealer.

How it Works

1. AIM Consultant analyzes your clients' current annuities and identifies opportunities for improvement through an exchange to a fee-based annuity, if suitable.
2. For accounts not eligible for an exchange, SS&C's insurance partner, DPL Financial Partners, is named the Agent of Record so that you can retain control of the client experience.
3. As the advisor, you are paid an advisory fee for ongoing management and investment advisory services.
4. As policies come out of surrender, your AIM Consultant helps you transfer these assets to a suitable advisory annuity to help improve the portfolio and financial plan.

The AIM Breakaway Accelerator Program delivers numerous benefits for you and your clients.

Benefits for You:

Independence. Complete your transition to a 100% fee-based model without leaving assets behind—no more orphaned accounts at your former broker-dealer.

Simplicity. Make a seamless transition away from your broker-dealer relationship, including fees and FINRA registration.

Value. Retain and protect client relationships while developing your fee-based AUM with current accounts.

Benefits for Your Clients:

Experience. Clients retain your fiduciary management of their annuity assets without the risk of a broker-dealer soliciting them.

Opportunity. As their legacy annuities mature, your clients can access modern, low-cost solutions that deliver greater value and performance potential.



For more information

To get started, contact an AIM Consultant by calling 1-888-680-0830 or emailing aim@dplfp.com.

ssblackdiamond.com