SS&C | Black Diamond WEALTH PLATFORM

## Pre-Approved Reporting Templates + User-Permission Controls + Advanced Technology =

# A Confidently Compliant Independent Broker-Dealer

Many Independent Broker-Dealers' (IBD) revenue streams have been diminished by the use of low-cost products such as ETFs, along with price pressures in a zero-commission world. Combined with a competitive environment for recruiting and retaining advisors, IBDs need to invest in technology to attract and keep the best advisors, while also delivering operational efficiencies and scale.

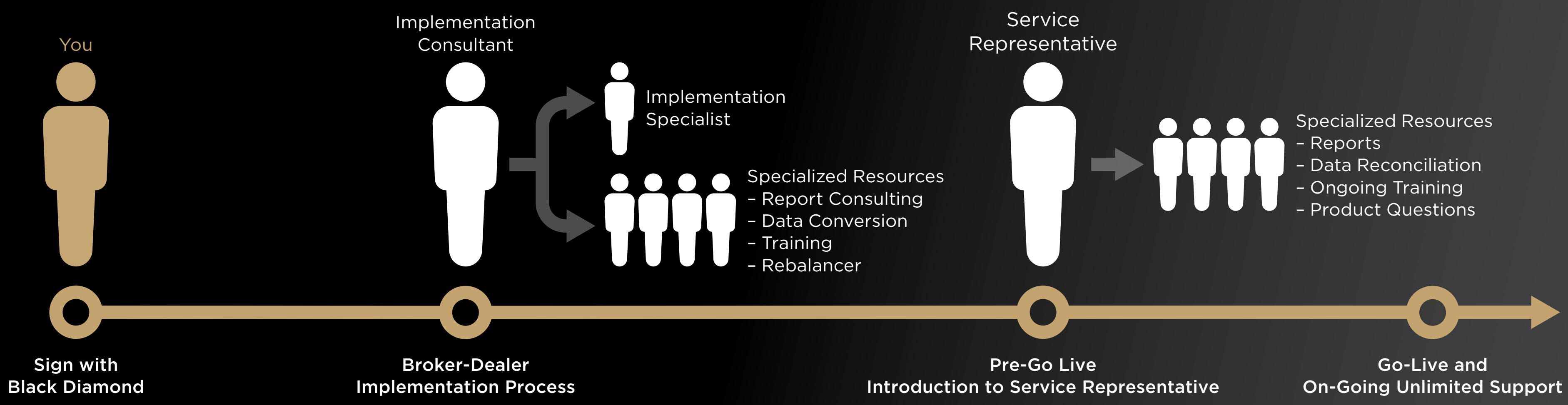
## Our scalable, cloud-native platform provides IBDs with compliance confidence through pre-approved reporting templates and user-permission controls.

The Black Diamond® Wealth Platform offers a fully-integrated cloud-native solution complete with customizable performance reporting, portfolio rebalancing, an immersive client portal, easy-to-use compliance tools & reporting and seamless connections to a vast ecosystem of smart integrations. Proactive, personalized attention from a dedicated service team ensures you can deliver a best-in-class level of service to your clients.

PRE-APPROVED REPORTING TEMPLATES + USER-PERMISSION CONTROLS + ADVANCED TECHNOLOGY =
A CONFIDENTLY COMPLIANT INDEPENDENT BROKER-DEALER

## We provide an elite combination of high tech with high touch to ensure maximum use of the platform.

With Black Diamond's industry unique and specialized service model, your assigned Implementation Consultant will be your primary point of contact throughout the onboarding process. These financial services experienced professionals will work closely with you in order to know the unique needs of your independent broker-dealer. They will leverage other specialized support resources in areas such as Reporting Consulting, Data Conversion, and Rebalancer to ensure your firm is compliant and ready to service your clients. As you prepare to launch the platform, your Implementation Consultant will introduce you to your dedicated Service Representative. As your single point of contact going forward, your Service Representative will function as an extension of your team so they can effectively work as your technology partner. This allows you to focus more time on your clients.



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## A comprehensive solution, designed with Independent Broker-Dealers in mind. You will easily add and retain new clients, while also attracting new advisors with our advanced technology.

In addition to the more than 400 person service team, Black Diamond offers an award winning, cloud-native platform that is constantly enhanced, confidently compliant, and available from anywhere, 24/7. Over the past few years we have significantly increased our investment in R&D to ensure that we are providing firms like yours the tools and types of solutions that matter the most.

1,900+
**firms using Black Diamond**

$2T+
**in assets under management**

800+
**data sources**

8,000+
**compliance related user roles**

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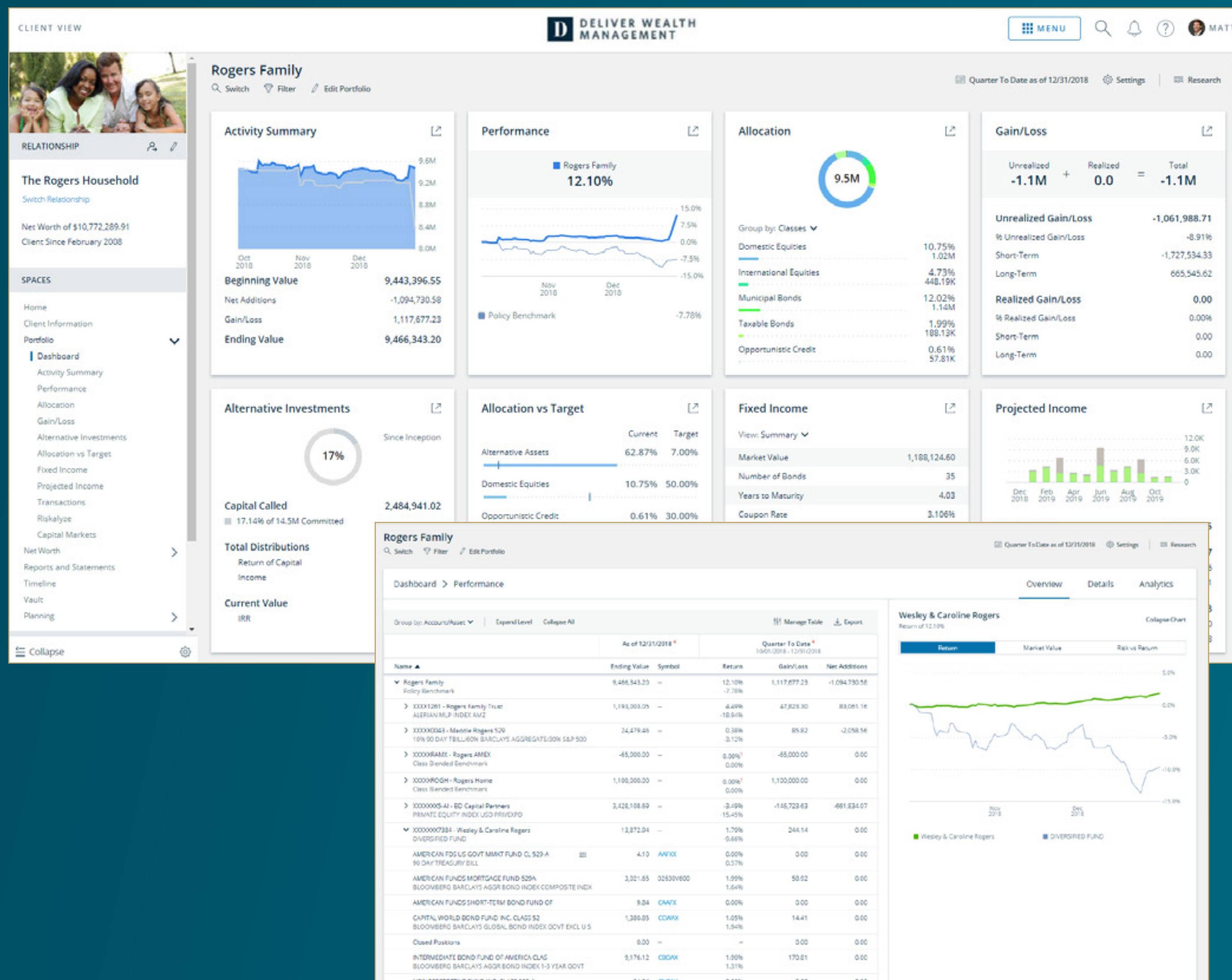
## Portfolio Management & Reporting

Oversee portfolios in the context of the entirety of the client relationship through a centralized command center. Insights are viewable at-a-glance through dynamic and configurable portfolio metric cards. You can control which reports your advisors are using by building pre-approved templates to easily generate beautifully crafted reports to be shared in your client's portal.



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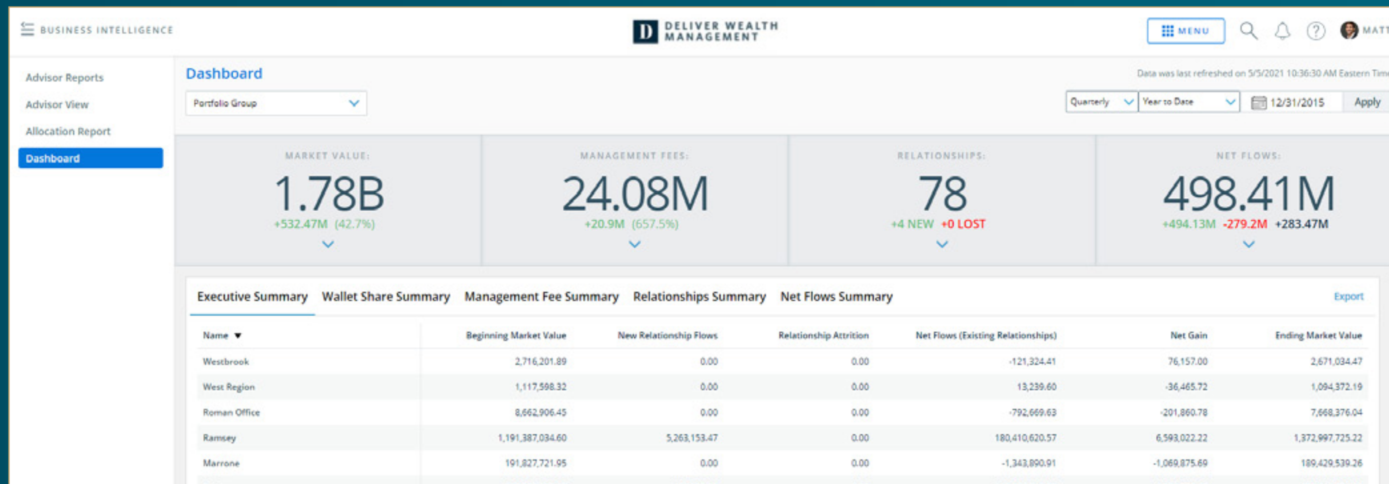
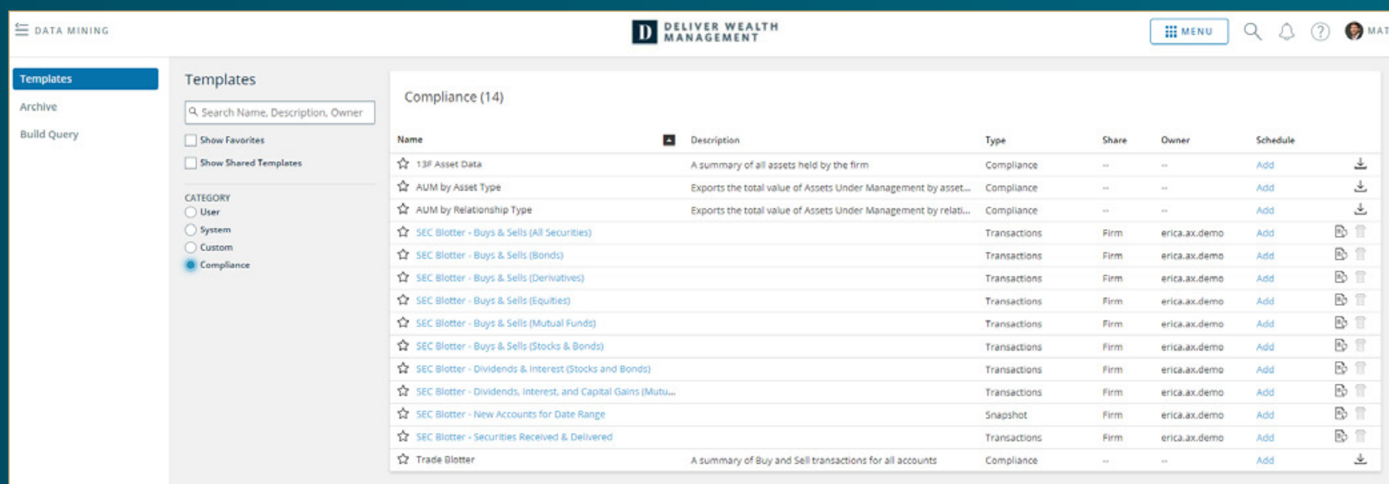
## Business Intelligence & Compliance

Take the pulse of your business, identify trends and outliers, and examine the finer details of advisor performance and client relationships. Granular user-permission controls, compliance-ready formats, report automation, and more make oversight manageable while providing a 360-degree view into your practice.



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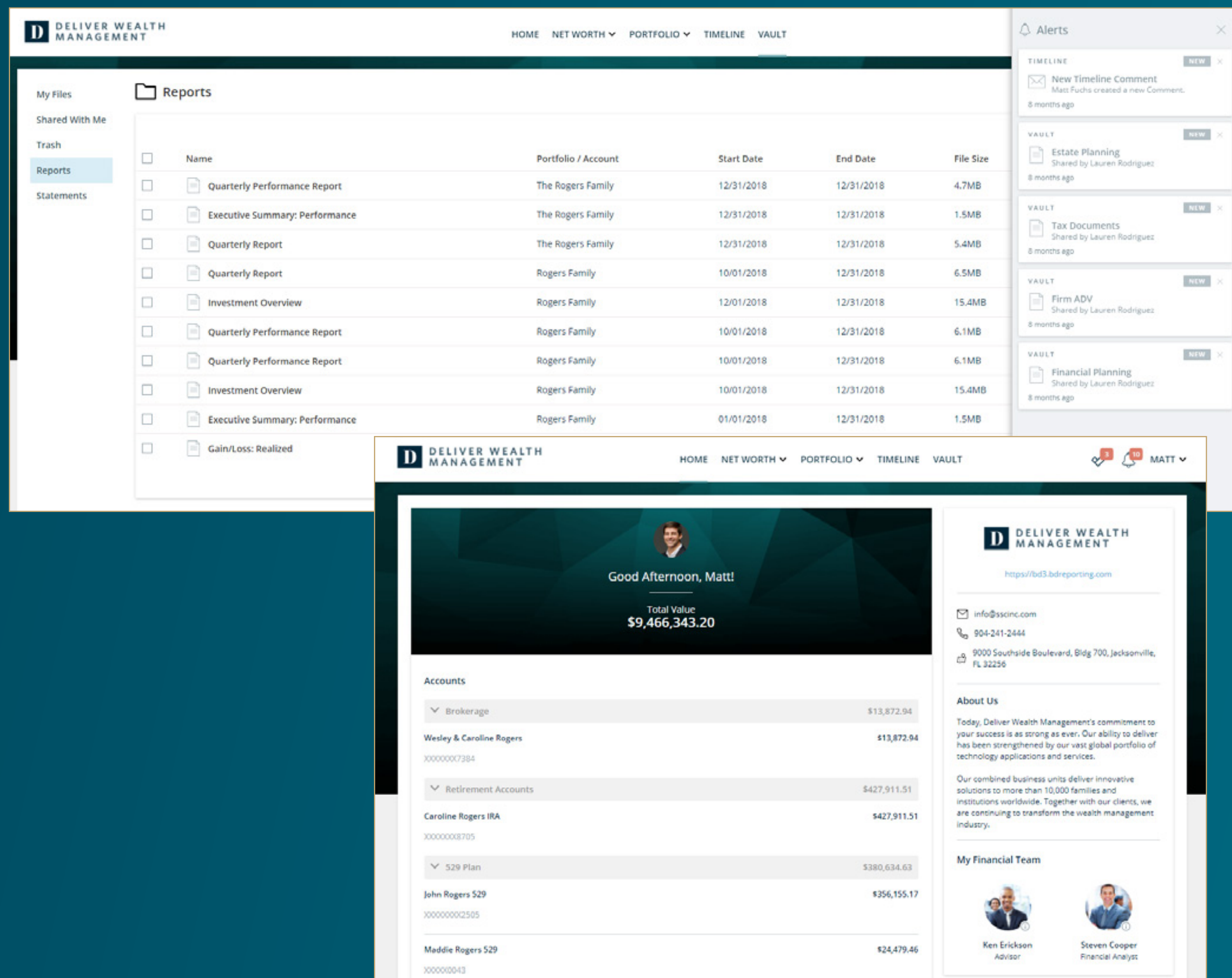
## Client Experience & Communications

Through a custom-branded, interactive client portal, you can document advisor-client communications, showcase dynamic portfolio metrics, post to a secure document vault, incorporate outside account aggregation, balance sheet reporting, and more.



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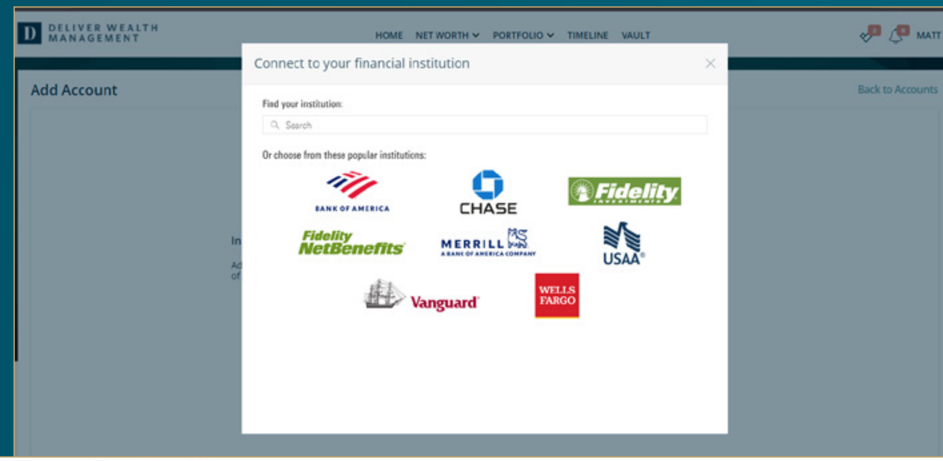
## Data Aggregation & Accounting

Data is aggregated from hundreds of sources and reconciled to the asset level daily. Supplemental details are added such as prices, indexes, and ratings for quick analysis.

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# A Complete Platform Enhanced by Our Partners.

### Productivity Enhancing Integrations

The complete, robust network features over 55 solutions from across the industry in financial planning, portfolio analytics, CRM, managed accounts, and more. Single-sign-on, embedded content, automated data syncs, connected workflows, and more enrich your overall experience.

### Reliable, Clean Data

Each day the platform is automatically powered with up-to-date account-level position and transaction data. The extensive data comes from hundreds of sources such as direct custodian feeds, the Advent Custodial® Data network of 800+ account providers, and third-party account aggregators. Plus, custodial-specific integration points include benefits such as cost basis synchronization, straight-through trade processing, statement imports, and digital account opening.

No matter which custodian(s) you work with, you can begin your day focused on your client relationships, not managing data.

**A selection of ACD interfaces include:**

Deutsche Bank
HSBC
State Street
UBS
Goldman Sachs
BNY Mellon
TIAA
Merrill, A Bank of America Company
Credit Suisse
JPMorgan Chase & Co.

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## From the front office to the back office, the Black Diamond Wealth Platform provides firms like yours with the tools to operate efficiently and deliver a sophisticated, modern client experience.

Within Black Diamond, advisors leverage cutting-edge solutions that drive success, such as:

- Comprehensive portfolio management
- Extensive compliance oversight
- Customizable *Reporting*
- Immersive *Client Experience* portal
- Alternative data feeds
- Asset level data aggregation
- Next-generation *Rebalancer*
- Intuitive dashboards
- And much more

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## At the Heart of Your Business: One platform to support your unique needs.

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## For more information:

No matter your size or structure, Black Diamond has the flexibility, infrastructure, and power to support your unique wealth management business. To learn how the Black Diamond Wealth Platform can support your new business, request your personalized demo, call 1-800-727-0605, or email info@advent.com.





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